

Department of State – U.S. Embassy, Kabul

Program Office: Public Affairs Section, U.S. Embassy, Kabul,
Afghanistan
Funding Opportunity Title: Cultural Programming in Herat Province
Announcement Type: Cooperative Agreement
Funding Opportunity Number: SCAKAB-14-CA-004-SCA-05142014
Deadline for Applications: June 15, 2014, 11:59 p.m., Kabul time
CFDA 19.501- Public Diplomacy Programs for
Afghanistan and Pakistan

CONTACT INFORMATION

- A) For questions relating to Grants.gov, please call the Grants.gov Contact Center at 1-800-518-4726.
- B) For assistance with the requirements of this solicitation, contact **Deborah B. Smith**, Grants Manager, Public Affairs Section, U.S. Embassy, Kabul, Afghanistan, at:
Email: KabulPASProposals@state.gov (*Preferred method of communication*)

CONTENTS

I. EXECUTIVE SUMMARY..... 3

II. BACKGROUND 3

III. GOALS AND OBJECTIVES..... 3

IV. ELIGIBILITY REQUIREMENTS..... 5

V. APPLICANT VETTING AS A CONDITION OF AWARD 5

VI. NOTIFICATION OF AUDIT REQUIREMENTS 6

VII. SUBMISSION INFORMATION..... 6

VIII. APPLICATION CONTENT 7

IX. AWARD SELECTION CRITERIA 12

X. AWARD ADMINISTRATION INFORMATION..... 13

XI. DISCLAIMER 14

XII. AVAILABILITY OF FUNDS 14

I. EXECUTIVE SUMMARY

The Public Affairs Section (PAS) of the U.S. Embassy in Kabul, Afghanistan is pleased to announce an open competition inviting all eligible organizations (see Section IV for Eligibility Requirements) to submit a proposal for a Cooperative Agreement to design, organize and implement cultural programming in Herat City and other parts of Herat Province over the course of one year, in close cooperation with the U.S. Consulate in Herat. The programs will engage the general public and important target audiences by addressing a series of issues that are priorities for the United States government (USG) and the Government of the Islamic Republic of Afghanistan (GIROA).

A successful proposal will address all the requirements outlined in this Request for Grant Proposals (RFGP), demonstrate the organization's professional experience in conducting similar projects in Herat Province, and the ability to meet the goals and objectives outlined below.

II. BACKGROUND

One of the key ways in which the Public Affairs Section in Consulate Herat engages the Afghan public is through supporting small but high-impact projects that advance civil society efforts to promote peace, tolerance, the rule of law, and community participation in all aspects of Afghan society. The Public Affairs Section in Consulate Herat is looking for a reliable partner with whom it can design, organize and implement cultural and educational programs in Herat that have high impact and resonate with Afghan society.

III. GOALS AND OBJECTIVES

Goal

The overriding goal of this effort is to advance the ideals of democracy and human rights, as well as to promote an independent civil society, and strengthen the people-to-people ties between Americans and Afghans.

Objectives

This above-stated goal will be achieved through implementing a series of cultural and educational programs in Herat City and other areas of Herat Province. The successful applicant will implement a minimum of twelve events/programs, each addressing a different theme. The seven themes outlined in the Mandatory Themes List below must be included in each proposal. For the remaining five (or more) themes, the grantee may choose from the Optional Themes List below or propose other theme(s) that highlight values and priorities common to the U.S. and Afghanistan, and that will resonate with local audiences. For each theme the applicant should propose a type of event or program to include, as a guideline, an equitable distribution of concerts, exhibitions, cultural arts

festivals, public discussions/conferences, and sports tournaments or events spread out throughout the year.

Mandatory Themes List: (an event/program for each of these themes must be included in the application)

- International Women’s Day (March) – Women’s themed film festival and/or a separate but thematically-linked women’s-themed art/photography exhibition (1 or 2 events)
- Nowruz (March) – Cultural Arts Festival at the Citadel
- World Press Freedom Day (May) – Public event to highlight the importance of a free and independent media
- Summer Festival (late July/early August) – Traditional Music Concert at the Citadel and a separate Cultural Arts Festival at the Citadel (2 concurrent activities/programs)
- Youth Sports – Education-related event for youth to highlight the importance of sports at a local school
- International Day of Peace (September) – Peace Concert
- The 16-Days of Activism Against Gender Violence Campaign (November - December) – Art exhibition and/or Peace Concert (1 or 2 events)

Optional Themes List: (a minimum of three events/programs based on the themes below or others proposed by the applicant)

- Earth Day (April) – Youth-focused education event to highlight environmental issues
- Black History (February) – A celebration of Martin Luther King’s civil rights legacy
- World Anti-corruption Day (December) – Public event to highlight a law-based society and the evils of corruption for democratic systems
- International Day Against Drug Abuse and Trafficking (June) – Public discussion and/or youth focused art festival to highlight evils of the drug trade
- International Jazz Day (April) – Concert to highlight themes of inclusiveness and diversity
- International Day of the Girl (October) – Education-related event to highlight the importance of female education

United States Government’s Role

As a Cooperative Agreement, the United States Consulate in Herat will have substantial involvement in this effort. The U.S. Consulate role will include: final authorization of each proposed event/program before it is implemented or any associated costs are incurred, including approval of the theme, venue, target audience and speakers or performers, as appropriate, at each event. The U.S. Consulate may, at its discretion,

request to substitute or amend a theme, or program plan for a particular theme, at any time during the term of the award. The Consulate will also define the appropriate role of the media in each event. The grantee will work with the Public Affairs Section in Consulate Herat to ensure that all projects include an American component and support U.S. values and/or culture. The grantee will gain the approval of the Public Affairs Section in Consulate Herat in advance of each event/program.

IV. ELIGIBILITY REQUIREMENTS

Eligibility is open to all U.S., Afghan, and international organizations with direct experience in conducting cultural and educational programs, and a proven track record of success implementing projects in Herat Province, Afghanistan. For-profit entities are not eligible for this opportunity. Organizations must demonstrate current country registration license (when applicable), competent programmatic ability and be able to meet reporting requirements. Non-Afghan organizations or companies are required to partner with an Afghan organization or company.

Applicants are not expected to be experts in all subject matter, but they are expected to possess the managerial expertise to provide the necessary logistical support for such a broad program, partnering when necessary with other civil society groups that have the specific knowledge and experience to successfully organize the proposed thematic events.

Applicants are not required to include funding from other donors. However, applications that include additional in-kind and/or cash contributions from non-U.S. Government sources will be more competitive, since cost-sharing demonstrates a strong commitment to the planned activities and will be considered to show greater cost effectiveness.

Applicants must:

- A. Be registered in the System for Award Management SAM located at www.sam.gov prior to submitting an application or plan; AND
- B. Maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by an agency.

V. APPLICANT VETTING AS A CONDITION OF AWARD

Applicants are advised that successful passing of vetting to evaluate the risk that funds may benefit terrorists or their supporters is a condition of award. Applicants may be asked to submit information required by DS Form 4184, *Risk Analysis Information* (attached to this solicitation) about their company and its principal personnel. Vetting information is also required for all sub-award performance on assistance awards identified by DOS as presenting a risk of terrorist financing. When vetting information is requested by the Grants Officer, information may be submitted on the secure web portal at <https://ramportal.state.gov>, via

Email to RAM@state.gov, or hardcopy to the Grants Officer. Questions about the form may be emailed to RAM@state.gov. Failure to submit information when requested, or failure to pass vetting, may be grounds for rejecting your proposal.

VI. NOTIFICATION OF AUDIT REQUIREMENTS

Foreign organizations that expend \$500,000 or more in a fiscal year in Department of State federal assistance must perform an independent, recipient-contracted Single Audit or Program Specific Audit. Program-specific Audit – means an audit of one Federal award program. Single Audit – means an audit which includes both the entity’s financial statements and the Federal Awards to be conducted in accordance with Generally Accepted Government Auditing Standards (GAGAS). The audits must be independently and professionally executed in accordance with GAGAS either prescribed by a government’s Supreme Audit Institution with auditing standards approved by the Comptroller General of the United States, or the host country’s laws or adopted by the host country’s public accountants or associations of public accountants, together with generally accepted international auditing standards. However, foreign entity audits consistent with International Standards for Auditing or other auditing standards are acceptable with the Grants Officer’s approval.

For sub-recipients expending \$500,000 or more in Department of State award funding during their fiscal year, Department of State standard audit provisions require that Prime recipients certify that audits of sub-recipients are performed annually and according to the standards described above.

The cost of audits required under this policy may be charged either as an allowable direct cost to the award, OR included in the organizations established indirect costs in the award’s detailed budget.

VII. SUBMISSION INFORMATION

Award Period: 12 months

Number of Awards Anticipated: One

Award Amount: The award floor is set at \$75,000. The award ceiling is set at \$95,000.

Application Deadline: All applications must be received on or before **June 15, 2014, 11:59 p.m., Kabul time**. Applications received after 11:59 p.m. will be ineligible for consideration. **Applicants are encouraged to begin the application process early**, as this will allow time to address any technical difficulties that may arise in advance of the deadline. There will be no exceptions to this application deadline.

Question Deadline: For questions on this solicitation please contact Deborah Smith, Grants Manager, Public Affairs Section, U.S. Embassy, Kabul, Afghanistan, at:
Email: KabulPASProposals@state.gov (*Preferred method of communication*).

Questions must be received on or before May 27, 2014, 11:59 p.m., Kabul time.

Answers to questions will be posted at <http://www.grants.gov> and <http://kabul.usembassy.gov/pdprp.html>.

Application Submission Process: There are two submissions methods available to applicants. Applicants may submit their application using Submission Method A or Submission Method B outlined below.

- **Submission Method A:** Submitting all application materials directly to the following email address: KabulPASProposals@state.gov. Applicants opting to submit applications via email to KabulPASProposals@state.gov **must** include the Funding Opportunity Title and Funding Opportunity Number in the subject line of the email.
- **Submission Method B:** Submitting all application materials through grants.gov. For those opting to apply through Grants.gov, thorough instructions on the application process are available at <http://www.grants.gov>. For questions relating to Grants.gov, please call the Grants.gov Contact Center at 1-800-518-4726. Please note, KabulPASProposals@state.gov is unable to assist with technical questions or problems applicants experience with grants.gov.

Applicants utilizing Grants.gov must register with Grants.gov prior to submitting an application. **Registering with Grants.gov is a one-time process; however, it could take as long as two weeks to have the registration validated and confirmed. Please begin the registration process immediately to ensure that the process is completed well in advance of the deadline for applications.** Until that process is complete, you will not be issued a user password for Grants.gov, which is required for application submission. There are four steps that you must complete before you are able to register: (1) Obtain a Data Universal Numbering System (DUNS) number from Dun & Bradstreet (if your organization does not have one already) by calling 1-866-705-5711; (2) Register with System for Award Management (SAM); (3) Register yourself as an Authorized Organization Representative (AOR); and (4) Be authorized as an AOR by your organization. For more information, go to www.grants.gov. Please note that your SAM registration must be annually renewed. Failure to renew your SAM registration may prohibit submission of a grant application through Grants.gov.

VIII. APPLICATION CONTENT

Applicants must follow the RFGP instructions and conditions contained herein and supply all information required. **Failure to furnish all information or comply with stated requirements will result in disqualification from the competition.** Applicants must set forth full, accurate, and complete information as required by this RFGP. The penalty for making false statements in proposals to the USG is prescribed on 18 U.S.C.1001.

Applications are accepted in English only, and final grant agreements will be concluded in English. Proposals may not exceed 22 double-spaced pages in 12-point, Times New Roman font with 1-inch margins. Shorter applications are encouraged. Longer applications will be considered non-responsive and will not be reviewed. This

requirement excludes the allowable appendices, which are identified in Section 6 below. The proposal must consist of the following:

Section 1 - Application for Federal Assistance (SF-424) and SF424A:

The SF424 is the standard cover sheet for grant applications. The SF424A is a budget summary sheet for grant proposals. Please refer to page 6-8 for a description of budget categories. Both these forms can be found on-line at:

http://fa.statebuy.state.gov/content.asp?content_id=20&menu_id=68.

Section 2 - Abstract:

The abstract is limited to 300 words in length. It must provide a summary of the project to be undertaken, expected timeline, and cost.

Section 3 – Implementation Plan:

The applicant must specify the goals and objectives of the project. Goals are general statements of intent; Objectives define a specific problem or task to be accomplished. The applicant should describe in detail the steps which will be taken to achieve these goals. This description should include all components of the proposed project including, as needed, design, procurement, and training. The proposal should clearly demonstrate how each of these project components directly relates to the project's stated goals. This section should also describe how success will be measured, and should propose specific performance indicators which can be used to track progress and determine the project's success. This section must include a time-task plan that clearly identifies the timeline for carrying out the project's major activities.

Applicants must present the following information **for each of the 12 events/programs:**

- Theme
- Type of event, such as:
 - **Art/photography/film exhibitions** promoting themes such as peace, the empowerment of women, freedom of expression, and other themes that are promoted by Herat civil society;
 - **Cultural events such as cultural arts festivals or concerts**, at least three of which will be set against the backdrop of the Herat Citadel;
 - **Public discussions/events** at schools, universities or local organizations;
 - **Sports tournaments or events** at schools, universities or local organizations.
- A description of how the event/program supports the overall goal of the project
- A detailed outline of the methodology that will be used to implement the proposed event/program
- Estimated number of attendees
- Proposed Venue
- Description of target audience/attendees
- Detailed line item budget for each event
 - All allowable expenditures for the events/programs will be paid through this cooperative agreement. The applicant may include administrative and personnel costs in its proposed budget. Besides the grantee's administrative

and personnel costs, the only expenditures covered under the cooperative agreement will be in support of the cultural programs, such as the procurement of services. While the recipient of this award may collaborate with other organizations to execute a given event/program, no personnel costs will be paid to those local cultural organizations or leaders. The cooperative agreement will cover the procurement of supplies, rental of equipment and venues, distribution of materials or invitations, etc.

- For more information on the budget requirements please see *Page 9, Section 6 Appendices*.
- Description of the intended impact of the event and how impact will be measured

The proposal should also describe the applicant's sustainability plan and what measures will be taken to ensure that the benefits of the proposed project continue to be realized after the completion of grant performance. This should include a Mentoring Plan that describes how the applicant will mentor local cultural leaders to build capacity for the management of cultural programs, and mentoring of selected cultural leaders on best practices in event organization, promotion and publicity, and fiscal management, thus providing valuable training to these local cultural leaders.

Section 4 - Organizational Capability:

Applications must include a clear description of the applicant's management structure, previous experience working in the area of cultural educational programming in Herat City and other areas of Herat Province, including specific information on the professional credentials of key individuals, experience working with Afghan government institutions (if applicable), previous experience with U.S. Government grants, and the organizational experience and background in Herat Province related to the proposed activities. Besides information about the organization as a whole, this section must also identify the proposed management structure and staffing plan for the proposed project. The applicant should describe how its previous experience relates to the proposed project.

Section 5 – Monitoring and Evaluation Plan:

Applications must include a monitoring and evaluation plan (M&E plan), sometimes also referred to as a performance monitoring or performance management plan. The M&E plan is a systematic and objective approach or process for monitoring project performance toward its objectives over time. The plan consists of indicators with baselines and targets; means for tracking critical assumptions; plans for managing the data collection process; and regular collection of data. The indicators in the plan should be SMART (Specific, Measurable, Attainable, Realistic, and Time-framed).

The M&E plan for this project must include the following:

- Photographs of the event
- Reporting on media coverage of the event
- Lists of participants and attendees to an event
- Feedback from participants on the quality of the program and why its theme was relevant

Evaluations should be scheduled and carried out throughout the course of the program. More information on M&E plans is located <http://www.state.gov/j/drl/p/c35797.htm>.

Section 6 - Appendices:

The proposal submission must include three appendices. Only the appendices listed below may be included as part of the application:

- 1. Budget Detail** – Applications will not be considered complete unless they include budgets that respond to the solicitation guidelines. Complete budgets will include detailed line-items outlining specific cost requirements for proposed activities. Applicants must adhere to the appropriate regulations found in 2 CFR 220 (previously OMB circulars A-21, cost principles for education institutions) and 2 CFR 230 (previously OMB circular A-122, cost principles for non-profits).

Detailed Line-Item Budget (in Microsoft Excel or similar spreadsheet format) that delineates funds requested from the Embassy and cost-share (see below for more information on budget format). Costs must be in U.S. Dollars. The budget must identify the total amount of funding requested, with a breakdown of amounts to be spent in the following budget categories: personnel; fringe benefits; travel; equipment; supplies; consultants/contracts; other direct costs; and indirect costs. The following provides a description of the types of costs to be included in each budget category.

A. Personnel – Identify staffing requirements by each position title and brief description of duties. For clarity, please list the annual salary of each position, percentage of time and number of months devoted to the project. (e.g., Administrative Director: \$30,000/year x 25% x 8.5 months; calculation: $\$30,000/12 = \$2,500 \times 25\% \times 8.5 \text{ months} = \$5,312.$)

B. Fringe Benefits - State benefit costs separately from salary costs and explain how benefits are computed for each category of employee - specify type and rate.

C. Travel - Staff and any participant travel

- 1) International Airfare
- 2) In-country Travel
- 3) Domestic Travel in the U.S., if any
- 4) Per diem/maintenance: Includes lodging, meals and incidentals for both participant and staff travel. Rates of maximum allowances for U.S. and foreign travel are available from the following website: <http://www.policyworks.gov/>. Per diem rates may not exceed the published U.S. government allowance rates; however, institutions may use per diem rates lower than official government rates. Please explain differences in fares among travelers on the same routes. Please note that all travel, where applicable, must be in compliance with the Fly America Act.

D. Equipment – Please provide justification for any equipment purchase/rental, defined as tangible personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more.

E. Supplies - List items separately using unit costs (and the percentage of each unit cost being charged to the grant) for photocopying, postage, telephone/fax, printing, and office supplies (e.g., Telephone: \$50/month x 50% = \$25/month x 12 months).

F. Contractual -

a) Sub-grants and sub-contracts. For each sub-grant/contract please provide a detailed line item breakdown explaining specific services. In the sub-grant budgets, provide the same level of detail for personnel, travel, supplies, equipment, direct costs, and fringe benefits required of the direct applicant.

b) Consultant Fees. For example lecture fees, honoraria, travel, and per diem for outside speakers or independent evaluators: list number of people and rates per day (e.g., 2 x \$150/day x 2 days).

G. Construction – For this solicitation, construction costs are not applicable.

H. Other Direct Costs - these will vary depending on the nature of the project. The inclusion of each should be justified in the budget narrative.

J. Indirect Costs - See OMB Circular A-122, "Cost Principles for Non-profit Organizations or the Federal Acquisition Regulation (FAR) at 48 CFR part 31"

1) If your organization has a negotiated indirect cost-rate agreement (NICRA) with the U.S. Government, please include a copy of this agreement. This does not count against submission page limitations.

2) If your organization is charging an indirect cost rate, please indicate how the rate is applied--to direct administrative expenses, to all direct costs, to wages and salaries only, etc.

Cost-Effectiveness/ In-Kind Contributions – There is no minimum or maximum percentage of cost sharing required for this competition. However, the Embassy encourages applicants to provide the highest possible levels of cost sharing in support of its projects. Cost sharing demonstrates the applicant's commitment to the project, belief in the achievability of its goals, and prospects for long-term sustainability. When cost sharing is offered, it is understood and agreed that the applicant must provide the amount of cost sharing as stipulated in its application and later included in an approved grant agreement. Cost sharing may be in the form of allowable direct or indirect costs. For accountability, written records must be maintained to support all costs which are claimed as contributions, as well as costs to be paid by the Federal government. Such records are subject to audit. The basis for determining the value of cash and in-

kind contributions must be in accordance with OMB Circular A-110, (Revised), Subpart C.23—Cost Sharing and Matching.

2. **Budget Narrative** - The purpose of the budget narrative is to supplement the information provided in the budget spreadsheet by justifying how the budget cost elements are necessary to implement project objectives and accomplish the project goals. The budget narrative is a tool to help the Embassy staff fully understand the budgetary needs of the applicant and is an opportunity to provide descriptive information about the costs beyond the constraints of the budget template. Together, the budget narrative and budget spreadsheets should provide a complete financial and qualitative description that supports the proposed project plan and should be directly relatable to the specific project components described in the applicant's Implementation Plan. The description provided on the budget spreadsheets should be very brief.
3. **Resume**— a resume, not to exceed 1 page in length, must be included for the proposed key staff person, such as the Project Director. If an individual for this type of position has not been identified, the applicant may submit a 1-page position description, identifying the qualifications and skills required for that position, in lieu of a resume.

IX. AWARD SELECTION CRITERIA

U.S. Embassy Kabul Public Affairs will review all proposals for eligibility. Eligible proposals will be subject to compliance of Federal regulations and guidelines and may also be reviewed by the Office of the Legal Adviser or by other Department elements. The U.S. Embassy reserves the right to request any additional programmatic and/or financial information regarding the proposal.

Proposals will be funded based on an evaluation of how the proposal meets the solicitation review criteria, U.S. foreign policy objectives, and the priority needs of the U.S. Embassy. All proposals submitted under this request will undergo a technical review by embassy personnel; all proposals deemed technically eligible will be evaluated by an embassy review committee. Committee members may make conditions and recommendations on any given proposal in order to enhance the proposed program.

Proposals will be scored based on the applicants' response to each Review Criteria listed below. Review criteria will include:

1. Quality of the program idea and program planning (25%): The proposed project should be well developed, respond to the design outlined in the solicitation and demonstrate originality. The project should have clear goals and objectives and metrics for determining whether the project goals were met. It should be clearly and accurately written, substantive and with sufficient detail. The program plan should adhere to the

program overview and guidelines described above, and should reference the applicant's capacity to meet all needs specified in the RFGP.

2. Ability to achieve program objectives (25%): Objectives should be reasonable and feasible. Applications should clearly demonstrate how the institution will meet the program's objectives and plan. Proposed personnel, institutional resources and partner organizations should be adequate and appropriate to achieve the program goals.

3. Institution's record and capacity (20%): The application should demonstrate an institutional record, including successful programming, responsible fiscal management, and compliance with reporting requirements, especially for U.S. Government grants.

4. Sustainability (10%): Proposed project should address the applicant's strategy for ensuring that the project benefits will continue to be realized on a long-term basis after the conclusion of the period of performance of the grant.

5. Monitoring and Evaluation (20%): Applications should demonstrate the capacity for engaging in impact assessments and providing objectives with measurable outputs and outcomes. The applicant should describe its plan for monitoring and reporting project outcomes.

6. Cost-effectiveness (Acceptable or Not Acceptable): The overhead and administrative components of the proposal, including salaries and honoraria, should be consistent with prevailing market rates in Afghanistan. All other items should be necessary, appropriate, and directly relatable to the project's goals and objectives. Cost sharing is encouraged but not required.

X. AWARD ADMINISTRATION INFORMATION

Award Notices: The award shall be written, signed, awarded, and administered by the Grants Officer. The Grants Officer is the Government official delegated the authority by the U.S. Department of State Procurement Executive to write, award, and administer grants and cooperative agreements. The assistance award agreement is the authorizing document and it will be provided to the Recipient through email transmission. Organizations whose applications will not be funded will also be notified in writing.

Anticipated Time to Award: Applicants should expect to be notified if their proposal has been selected for award within 90 days after the submission deadline. PAS Kabul will provide information at the point of notification about any modification to the proposal or plan of work that will be required to finalize the cooperative agreement.

Issuance of this RFGP does not constitute an award commitment on the part of the U.S. government, nor does it commit the U.S. government to pay for costs incurred in the preparation and submission of proposals. Further, the U.S. government reserves the right

to reject any or all proposals received. The U.S. government also reserves the right to make an award in excess of the award ceiling.

- **Reporting Requirements:** Grantees are required to submit quarterly program progress and financial reports throughout the project period. Progress and financial reports are due 30 days after the reporting period. Final programmatic and financial reports are due 90 days after the close of the project period.

XI. DISCLAIMER

If a proposal is selected for funding, the Department of State has no obligation to provide any additional future funding in connection with the award. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department of State.

XII. AVAILABILITY OF FUNDS

This RFGP is subject to funds availability. Awards will be granted only if appropriated funds are allocated to the United States Embassy in Kabul by Department of State central budget authorities.